



Date of Publication – 30-Apr-2018

Period Covered – 2017

Commission Delegated Regulation (EU) 2017/576 of 8 June 2016 (RTS 28)

Class of Instrument	Swaps, forwards, and other currency derivatives (Annex I (e) (ii))				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
THE TORONTO-DOMINION BANK (PT3QB789TSUIDF371261)	16.4%	10.6%	n/a	n/a	0%
UBS AG (BFM8T61CT2L1QCEMIK50)	11.0%	4.5%	n/a	n/a	0%
STATE STREET BANK AND TRUST COMPANY (571474TGEMMWANRLN572)	10.4%	10.4%	n/a	n/a	0%
THE NORTHERN TRUST COMPANY (6PTKHDJ8HDUF78PFWH30)	8.2%	7.0%	n/a	n/a	0%
HSBC BANK PLC (MP6I5ZYZBEU3UXPYFY54)	7.5%	9.4%	n/a	n/a	0%



Date of Publication – 30-Apr-2018

Period Covered – 2017

Commission Delegated Regulation (EU) 2017/576 of 8 June 2016 (RTS 28)

Class of Instrument	Money market instruments (Annex I (b) (ii))				
Notification if <1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
THE TORONTO-DOMINION BANK (PT3QB789TSUIDF371261)	80.1%	45.5%	n/a	n/a	0%
CREDIT AGRICOLE CORPORATE AND INVESTMENT BANK (1VUV7VQFKUOQSJ21A208)	19.9%	54.5%	n/a	n/a	0%
n/a	n/a	n/a	n/a	n/a	n/a
n/a	n/a	n/a	n/a	n/a	n/a
n/a	n/a	n/a	n/a	n/a	n/a



Date of Publication – 30-Apr-2018

Period Covered – 2017

Commission Delegated Regulation (EU) 2017/565 of 25 April 2016 – Article 65(6)

Class of Instrument	Options and Futures admitted to trading through a broker (Annex I (g) (i))				
Notification if <1 average trade per business day in the previous year	Y				
Top five execution brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
HSBC BANK PLC (MP615ZYZBEU3UXPYFY54)	100.0%	100.0%	n/a	n/a	0%
n/a	n/a	n/a	n/a	n/a	n/a
n/a	n/a	n/a	n/a	n/a	n/a
n/a	n/a	n/a	n/a	n/a	n/a
n/a	n/a	n/a	n/a	n/a	n/a



Date of Publication – 30-Apr-2018

Period Covered – 2017

Commission Delegated Regulation (EU) 2017/565 of 25 April 2016 – Article 65(6)

Class of Instrument	Options and Futures admitted to trading through a broker (Annex I (c) (i))				
Notification if <1 average trade per business day in the previous year	Y				
Top five execution brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
HSBC BANK PLC (MP6I5ZYZBEU3UXPYFY54)	81.3%	47.1%	n/a	n/a	0%
UBS LIMITED (REYPIEJN7XZHSUI0N355)	18.7%	52.9%	n/a	n/a	0%
n/a	n/a	n/a	n/a	n/a	n/a
n/a	n/a	n/a	n/a	n/a	n/a
n/a	n/a	n/a	n/a	n/a	n/a



Date of Publication – 30-Apr-2018

Period Covered – 2017

Commission Delegated Regulation (EU) 2017/565 of 25 April 2016 – Article 65(6)

Class of Instrument	Money market instruments (Annex I (b) (ii))				
Notification if <1 average trade per business day in the previous year	Y				
Top five execution brokers ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
THE TORONTO-DOMINION BANK (PT3QB789TSUIDF371261)	95.4%	78.3%	n/a	n/a	0%
BARCLAYS BANK PLC (G5GSEF7VJP517OUK5573)	4.6%	21.7%	n/a	n/a	0%
n/a	n/a	n/a	n/a	n/a	n/a
n/a	n/a	n/a	n/a	n/a	n/a
n/a	n/a	n/a	n/a	n/a	n/a